

# *Implications of climate change for expanding cities worldwide*

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# Implications of climate change for expanding cities worldwide

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This paper analyses the trends of the changing environmental effects within growing megacities as their diameters exceed 50–100 km and their populations rise beyond 30 million people. The authors consider how these effects are influenced by climate change, to which urban areas themselves contribute, caused by their increasing greenhouse gas emissions associated with rapidly expanding energy use. Other environmental and social factors are assessed, quantitatively and qualitatively, using detailed modelling of urban mesoscale meteorology, which shows how these factors can lead to large conurbations becoming more vulnerable to climatic and environmental hazards. The paper discusses the likely changes in meteorological and hydrological hazards in urban areas, both as the climate changes and the sizes of urban areas grow. Examples are given of how these risks are being reduced through innovations in warning and response systems, planning and infrastructure design, which should include refuges against extreme natural disasters. Policies are shown to be more effective when they are integrated and based on substantial community involvement. Some conclusions are drawn regarding how policies for the natural and artificial environment and for reducing many kinds of climate and hazard risk are related to future designs and planning of infrastructure and open spaces.

## 1. Climate and environment of urban areas

### 1.1 Sustainability

In the twentieth century, the numbers of both large cities and megacities have been increasing; the quantity almost tripled between 1950 and 1975 (from eight to 22), and nearly doubled from 1975 to 2000 (to 39), and is expected to grow by about half again in the short period between 2000 and 2015 (UN, 2001). As the proportion of the world population living in urban settlements rises progressively during this century from 50% to about 60–70% (UN Population Division, 2006), their energy demands lead to an ever greater proportion of the world's greenhouse gas (GHG) emissions.

Since the second United Nations (UN) habitat conference in 1996 (Hunt, 1996; UN, 1996), politicians, as well as environmental scientists, meteorologists and urban planners, have

begun to realise that the objectives of sustainability, set out in the UN Brundtland report (Brundtland, 1987), could only be achieved by understanding and dealing effectively with the interactions between cities and the wider regional and global environment (Hunt *et al.*, 2007; Lee, 2007; Rosenzweig and Solecki, 2010).

The consumption of energy and material resources, and the contributions to GHG emissions in cities are rising, but not as fast as per person for the population as a whole (Hunt, 2005; Rosenzweig *et al.*, 2010). This is because in cities with public transport and with high density and low-energy-use housing, such as New York and London, people generally use less energy per person than in rural or suburban areas. This difference is increasing as the energy used per person for transportation grows proportionately with the size of low-density suburban areas.

However, the high concentration of people per square metre in urban areas, about 100–1000 times the global average, also affects their ultimate sustainability because it can make their populations more vulnerable to extreme natural hazards. Some of these hazards will be further exacerbated directly or indirectly by human-induced climatic and environmental change. Ensuring sustainability of urban settlements requires studying how both short- and long-term measures to improve their resilience against natural and other disasters need to be modified to allow for their growing size and the interactions with changes to the regional climate and to the terrestrial, atmospheric and aqueous environments.

In industrial countries, these dangers have been reduced by improvements in the science and technology of hazard forecasting, in disaster management and in preventative design. Fewer lives have been lost and much less physical damage caused by hazards in major cities in developed compared with developing countries. However, the heat waves and floods in Europe and the USA in past decades have shown that all societies can be vulnerable to hazards if they are large enough, which can sometimes be so unexpected that even the insurance industry may have overlooked them (Kalkstein and Sheridan, 2007). The nature and frequency of extreme hazards are changing, which lead to greater impacts on communities and infrastructure. New risks are arising associated with large cities and with the movements and reactions of people in emergencies. These risks are affected by changes by local meteorology and hydrology and these relate to changes in regional climate and in land surface cover such as desertification (Hunt 2005, 2009a; Kintisch, 2005).

## 1.2 Changes in global and regional climate and environment

During the UN summit in Mexico in January 2011, the latest projections of GHG emissions were discussed, based on likely estimates of expanding, rather than contracting emissions of GHG by developed and developing countries, which indicate that the future rise in average global temperature by the end of the century will lie between 3 and 4°C, which is significantly greater than the 2°C discussed at the UN climate conference in Copenhagen in 2010 (e.g. Tyndall Centre for Climate Change Research, 2010). This will hasten and amplify the impacts of climate change to a greater extent than many current plans allow for. But it is essential to recognise that the shift in the mean temperature is likely to increase the variability of weather and seasonal climate in certain parts of the world. Extremes of high and low temperature, and drought and flood, are being recorded around the world.

As changes to the global environment and climate bring greater and wider threats to societies and the natural world, the objective of sustainable development for cities becomes even more

essential, but requires reinterpreting (e.g. Schellnhuber *et al.*, 2004). The scientific study of trends of changing climate needs to combine meteorological and environmental research for urban areas and their interactions on regional and global scales (Bornstein, 1987; Fernando, 2008; Fernando *et al.*, 2001; Gayev and Hunt, 2007; Head, 2008). The effects of climate change, seasonal variability and extreme natural hazards have to be considered (Hunt *et al.*, 2007; Pachauri and Reisinger, 2007a).

For the study of urban areas in present climatic conditions, calculations can be based on local observational data, but for predicting future trends they are determined by the prediction of global climate models.

Mesoscale meteorological models used to study the climate and environment within specific local areas also depend on the influence of the weather, environment and climate outside the areas, that is ‘boundary conditions’ (Porson *et al.*, 2009). Since climatic and environmental changes are strongly influenced by local topography and by marked variations in the local surface conditions, including those produced artificially, mesoscale models need to be sufficiently detailed to describe these local factors. Such models have demonstrated in a number of cases how trends in average synoptic scale flows can interact with the special topographic and surface features so as to induce large regional effects. In polar regions, climatic changes have caused a deflection of the zonal flow, so that the mean temperature has risen by 3 K over the past 50 years – more than triple the global average (Orr *et al.*, 2008). Downscaling results have been more successful in modelling variations in some regional climatic trends, for example in temperature and wind, than in others, for example precipitation (Boé *et al.*, 2009; Cassou and Terray, 2005; Smith *et al.*, 2006). Observational studies of the decadal warming trend in cities worldwide show that they are greater than in the surrounding regions: in central London the urban heat island effect currently adds up to a further 5–6°C to summer night temperatures and will intensify in the future (London Climate Change Partnership, 2002). The build-up of heat (and pollution) in the boundary layer below 200–300 m is now being monitored and studied as urban areas develop (e.g. Barlow *et al.*, 2011; Mathias *et al.*, 2002).

Considering possible future risks requires first identifying and estimating the full range of possible hazard scenarios. In built-up areas, there are a number of critical environmental conditions which lead to primary and/or secondary dangerous impacts on the physical and social structure such as flooding, causing secondary effects of water pollution and cuts of electrical power (see Table 1). Government and communities need to understand these different events individually and collectively in order to decide on long-term policies and short-term response. Precautionary measures may avert the necessity for drastic actions later, such as cities being abandoned as they have been in past climates, for example Mohenjo-daro in

Causes <i>Primary hazards</i>	Changing impacts over time from climate/environmental changes	Changing impacts of increasing scale of urbanisation $L$ (in relation to scales $L_H$ of hazards and $L_R$ of meso-meteorology)
Wind (speed $U \uparrow$ )	$(I_0 + \Delta I) \uparrow$ (see note <sup>a</sup> )	$\frac{L}{L_H} \uparrow; \frac{L}{L_R} \uparrow$ $\Delta \hat{U} \uparrow, \Delta \hat{I} \uparrow$ (see note <sup>b</sup> ) As $\frac{L}{L_H} \uparrow, <\Delta I> \uparrow$ (a) $\frac{L}{L_H} > 1 \Rightarrow <\Delta I> \downarrow$ (b) $\frac{L}{L_H} \sim 1 \Rightarrow <\Delta I> \uparrow$
Flood (water level $h \uparrow$ ). Causes: (a) local precipitation (b) high river flow/high coastal winds/tides/cyclones	$(I_0 + \Delta I) \uparrow$	$\frac{L}{L_H} \sim 1 \Rightarrow \Delta T \uparrow$ $\Rightarrow \Delta \hat{I} \uparrow, <\Delta I> \uparrow$ $L/H \sim L \Rightarrow \Delta C \downarrow$ $\Delta \hat{I} \uparrow, <\Delta I> \uparrow$
Heat ( $T \uparrow$ )	$(I_0 + \Delta I) \uparrow$ (see note <sup>a</sup> )	$\frac{L}{L_H} \sim 1 \Rightarrow \Delta T \uparrow$ $\Rightarrow \Delta \hat{I} \uparrow, <\Delta I> \uparrow$ $L/H \sim L \Rightarrow \Delta C \downarrow$ $\Delta \hat{I} \uparrow, <\Delta I> \uparrow$
Pollution ( $C \uparrow$ )	$I_0 + \Delta I \uparrow$	$\frac{L}{L_H} \uparrow \Rightarrow <\Delta I> \downarrow$ (see note <sup>a</sup> ) If $L/H \downarrow \Rightarrow \Delta \hat{I} \uparrow$ (see note <sup>a</sup> ) (a) if $\frac{L}{L_H} \uparrow \Rightarrow <\Delta I> \downarrow$ (see note <sup>a</sup> ) (b) if $\frac{L}{L_H} < 1, <\Delta I> \uparrow$ (see note <sup>b</sup> )
<i>Secondary and geophysical hazards</i>		
Physical/environmental effects	$(I_0 + \Delta I) \uparrow$ (see note <sup>a</sup> )	$\frac{L}{L_H} \uparrow \Rightarrow <\Delta I> \downarrow$ (see note <sup>a</sup> ) If $L/H \downarrow \Rightarrow \Delta \hat{I} \uparrow$ (see note <sup>a</sup> )
Societal/economic loss of capacity	$(I_0 + \Delta I)$ (see note <sup>a</sup> ) $\bar{I} \uparrow (?)$	(a) if $\frac{L}{L_H} \uparrow \Rightarrow <\Delta I> \downarrow$ (see note <sup>a</sup> ) (b) if $\frac{L}{L_H} < 1, <\Delta I> \uparrow$ (see note <sup>b</sup> )
Conclusion	$<\Delta I> \uparrow$ or $\downarrow$ (see note <sup>a</sup> )	

Note:  $\uparrow$  implies increasing;  $\downarrow$  implies decreasing trends; (?) means uncertain;  $>$  means greater than;  $<$  means less than.

<sup>a</sup> $I = I_{CR}$  for  $H > H_{CR}$ ; but  $I \approx 0$  for  $H < H_{CR}$ , the critical hazard threshold.

<sup>b</sup> $\Delta \hat{I}$  is peak impact;  $\bar{I}$  is time average over many events;  $<\Delta I>$  is spatial average over urban area

**Table 1.** Changing impacts on cities of climate and environmental change and increasing scale of urbanisation.

Pakistan, which was destroyed and rebuilt seven times. Indeed some coastal communities and island states, such as the Maldives, are preparing for the same fate during this century as the sea level rises. In Britain this has been happening for 300 years; the post Ice Age sinking land levels led to the loss of Ravensburgh on the Yorkshire coast. Further coastal erosion and inundation along the east coast of England with localised defences is now accepted policy (Flood and Water Management Act, 2010). By contrast, the Netherlands, with a shorter coastline and different geological conditions, is able to raise coastal defences to prevent any loss of land (Netherlands government, 2007).

### 1.3 Policy approaches

The international community and some regions and cities around the world are beginning to act on the causes of climate

change through technological development and by changing policies for future energy use and transportation so as to limit GHG emissions (as in EU countries) or at least to limit their rate of increase as in China (Hunt, 2009b). These developments also contribute to the other important policy objectives of reducing reliance on fossil fuels and improving energy security. But the necessary policies are not being introduced fast enough or strongly enough to stabilise the world climate.

Given the current projections of global warming and its impacts, governments and cities are therefore also introducing policies to enable communities, industries and agriculture to withstand the likely consequences of climate change (Parry *et al.*, 2007b). The global costs of adaptation to climate change if introduced soon could be less than 1–3% of global economic activity (Stern, 2007).

The Netherlands government, following a major review of the country's risks, plans to raise its dykes to allow for the eventual rise in sea level of several metres (Kabat *et al.*, 2009), corresponding to the worst-case scenario of melting of polar ice-caps (Hunt, 2009a). German measures in 2005 were described by Hunt *et al.* (2007). UK measures were reviewed by the adaptation sub-committee of the Committee on Climate Change (CCC, 2010), when it was shown that little progress has been made so far to avert significant societal disruptions caused by long-term climate change.

There are common elements and concepts in the main technical, economic and administrative policies for dealing with climatic and environmental risks (e.g. in infrastructure and operational risk management). Connecting and, in some cases, integrating these policies is practical but also more effective socially and economically (Parker and Penning-Rowsell, 2005). This approach also contributes to measures for long-term sustainability (for example introducing renewable or high-efficiency energy systems in new housing developments), as some cities and regions have demonstrated already. The environmental advantages and implications for reducing climatic and environmental hazards in urban areas through connected policies are discussed in Section 3 – see Table 2.

## 2. Climate change, environmental risks and urbanisation

### 2.1 Factors contributing to risks

The main types of meteorological, hydrological, or environmental hazard (see Table 1) that cause damaging impacts on communities are the following: high wind speeds ( $U$ ); raised water levels ( $h$ ) caused by local precipitation, river discharges or wind-induced surges and waves along coasts; high temperatures ( $T$ ) associated with regional meteorology and artificial effects produced by changes in surface properties and heat emissions from energy systems; high concentrations ( $C$ ) of atmospheric gases and particulates arising from natural sources (e.g. wind-blown sand or noxious gases from lakes) and from artificial processes of industry, transport and agriculture, and so on. All kinds of hazards (denoted generically by  $H$ ) impact on the physical and societal structure of communities, with long-term damaging effects on their physical infrastructure, health, and social and economic capacities. But the magnitude of the impact (denoted by  $I$ ) depends on  $H$ , and also how well all these aspects of the community are adapted to reduce the impact of the hazard and to recover afterwards; in other words its resilience or lack of vulnerability (Adger, 2006; Crichton, 2007; Hunt, 2009a; Hunt *et al.*, 2007).

Some countries are also exposed to other kinds of equally damaging geophysical hazards such as volcanoes, earthquakes

and tsunamis (Hunt and Kopec, 2011). These hazards are first associated with regional weather and climate and with environmental effects that are independent of any local urban effects (even though urban areas worldwide are affecting the global climate). These non-urban impacts are denoted by  $I_0$ . Regional effects may be exacerbated by significant regional amplification of global warming mentioned above.

In urban areas the presence of a large population as well as certain physical, chemical and biological processes can lead to additional hazards and impacts. In other words, urban areas are particularly vulnerable to hazards denoted by  $\Delta I$  (Adger, 2006). Estimating impact risks first requires considering which hazards can occur simultaneously, for example as can happen with high winds, floods and waves. But other combinations, such as high temperature and very high winds, may be very unlikely, depending on the climatic region and the geography. Second, in urban areas different hazards can combine to enhance  $\Delta I$ . This can occur with flooding and also when high urban temperatures worsen illnesses caused by high air pollution concentrations, which is documented in Africa (Acops, 2002) and Asia (HEI, 2004).

Climate change and urban factors can also exacerbate the impacts of geophysical hazards (Ravillious, 2010), for example longer lasting stagnant atmospheric conditions following volcanic eruptions, or where tsunami impacts on coastal cities will increase with sea level rise and may in future occur on arctic coasts as sea ice melts. Another possible geophysical hazard occurs in years of high solar activity, when ionisation of the atmosphere causes breakdown of the electrical systems that are essential for the infrastructure of cities – a concern for certain Asian cities during the next sun-spot cycle in about 2012 (Lam, personal communication, 2009). Some secondary hazards come from natural processes such as rain-induced mud slides in cities on mountain slopes, particularly following tropical cyclones. Other secondary hazards are environmental effects caused by the disruption of the cities' systems, such as overflow from drains leading to widespread water pollution (Ristenpart, 2003).

Medical, social and economic impacts are of increasing concern to policy makers, since they determine whether communities can recover before the next hazard event occurs. Failure to do so threatens their long-term viability (Hunt, 2009a) – see Table 1. Insurance companies now assess vulnerability risk as much in terms of the social capacity of communities as by physical impacts of extreme events and preventive measures that may have been taken (UNEPFI, 2009).

Multidisciplinary meteorological, environmental, engineering and social science studies are needed to estimate such hazards

Types of action	Advantages for mitigation of GHG emissions	Advantages for adaptation to climate change		
		Short-term benefits (+ resilience)		Long-term benefits (+ sustainability)
Energy and resource efficiency	Short timescale (for decisions and action) Local →	Health Security ( $\Delta T \downarrow$ ) ( $\Delta C \downarrow$ )	→	✓
New power sources (± networks)	Renewable (F) →	Resilience	→	✓
	Nuclear →	Security	→ (?)	✓
Land use, buildings, planning	Bio/ag/for/urban →	Reduce hazards	→	✓
Less travel and more telecommunication	Non-fossil efficiency/use	$\Delta C \downarrow$ Hazard security		Environmental and economic sustainability

## Notes:

(a) Actions for climate change also contribute to and link with resilience, resources, security, economics and social capacity (also affected by integration).

(b) Varying timescales for different actions.

(c) Continual information and warnings needed for all policy objectives.

(d) Response and recovery systems needed for all hazards – can be common for most cases.

(e) (?) means uncertain.

(f) Bio/ag/for/urban means appropriate low carbon forms of bio fuels, agriculture, forestry, bio mass, and urban design.

**Table 2.** Policies and actions for climate and environmental hazards in urban areas: advantages for different objectives

and impacts, both separately and in combination, and as climatic and urban conditions change in the future. Comprehensive system models are now being constructed to include these features (see, for example, <http://www.tyndall.ac.uk/images/People-and-Places>). While numerical simulations of these physical and socio-economic models are required for quantitative predictions of a few cases, simplified modelling is also needed to provide interpolation, extrapolation and comparisons between ranges of simulation results. This approach enables estimates to be made of future trends, as in Table 1 and in the Appendix.

## 2.2 Effects of increasing scale

Note that estimates of trends for impacts from primary hazards are based on models of local meteorology and environmental processes, and on civil engineering studies of critical conditions in urban areas, particularly associated with high winds and floods. But estimates of secondary impacts also derive from system engineering concepts and societal models of resilience (e.g. Hunt, 2009a; Moser, 2007). Some impacts are

only significant when the hazard exceeds a critical value,  $H_{cr}$ . As recent disasters have shown, this value depends on the strength of the precautions and engineering defences that were in place at the time. But for other hazards, such as higher temperatures caused by global warming and the urban heat island (Oke, 1978, 1982) and higher air pollution concentrations (e.g. Jacobson, 2002), adverse impacts  $I$  on the well-being and environment of cities increase progressively as the hazard magnitudes  $H$  increase, whether they occur separately or in combination. Recent analysis of future climate models suggests that the occasional blocking events associated with high temperatures will be more frequent, and may even last for 20 days, with very serious effects on the security of water resources over the whole European regions as well as in urban areas. Current data for summer periods are consistent with this trend (Cassou and Guilyardi, 2007).

An associated critical feature of the changing structure of the troposphere (Gaffen *et al.*, 2000) is the lessening vertical stability and deepening and strengthening of convection (except over

regions where this is limited by urban induced aerosols (Ramanathan *et al.*, 2007)). This causes more intense rainfall events and flash flooding typically over scales of about 30 km.

As the size of an urban area increases, its energy use and pollution emissions increase approximately in proportion to the area. In the evening and night time as the heat transferred to the air from the buildings is transported by the wind, the urban surface temperature increases in the downwind direction (Oke, 1978; also see Appendix). The peak concentration of air pollution also increases. Where tall buildings are close to each other the wind between them is less and they lose less heat to the sky by radiation. This is why the urban heat island is generally greater in American and East Asian cities than in European low-rise cities. Currently in East Asian cities clusters of tall buildings above 100 m high are being built separated by small gaps between them (less than 20 m) leading to stagnant air over 24 h. This is now also leading to increasing day-time temperatures as the convective eddies are prevented from ventilating the city streets (Zilitinkevich *et al.*, 2006).

The length scale over which the urban heat island hazard is significant, denoted by  $L_H$ , therefore grows broadly in proportion to the scale  $L$  of expanding cities. But as the Paris heat wave of 2003 demonstrated, local variations in the urban environment temperatures are also very important, and can even determine the local pattern of mortality (Canoui-Poitine *et al.*, 2006). In this case these hot spots effectively determined the average mortality impact per unit area over the city  $< \Delta I >$ . This would be expected to increase as the urban temperature increases with the scale of cities.

As air pollutants are transported across the city, some gases increase in concentration, while others undergo chemical transformations. Some can even decrease in intensity. Overall, the scale of the pollutant hazard  $L_H$  increases with  $L$ , which will increase the impact on mortality of air pollution. In some countries air pollution concentrations are now large enough to cause a significant loss of visibility.

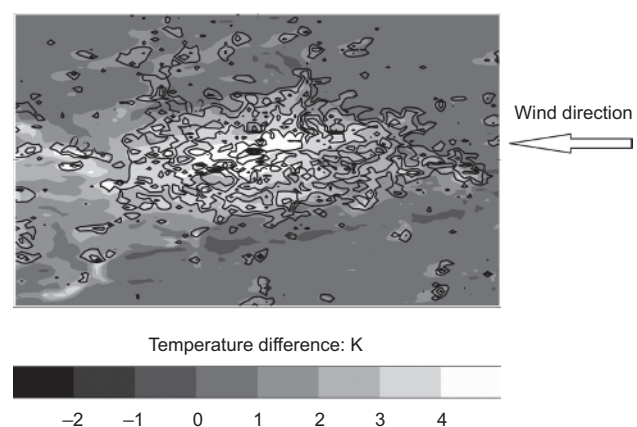
Unlike heat, which diffuses to the ground, pollutants can be advected far downwind of cities. In local sea-land breeze and valley circulations, as in Los Angeles and Phoenix, pollutants are transported 30 km out of the centre and are swept back to build up the concentrations even further (Fernando *et al.*, 2001). Such local effects are limited to central areas of the cities but become less significant as they expand. Even low hills, such as those surrounding London and Athens, confine the airflow and pollutants at night and in stable conditions.

Where there are surrounding mountains higher than the depth of the boundary layer (about 1000 m), they have a dominant role in the local meteorology and environment even in very

large cities over 100 km in diameter, for example in Los Angeles or some cities in China (Lu *et al.*, 2009).

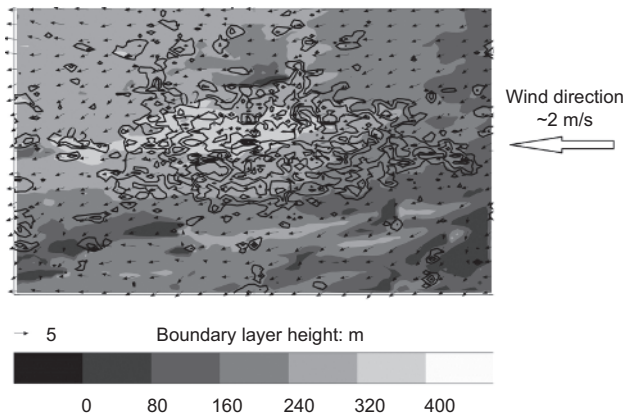
Many observational and numerical studies (e.g. Bornstein, 1987), have shown how, over larger cities, the airflow, temperature profiles and precipitation patterns differ appreciably between those in the centre and those outside the urban areas. Recent measurements over central London (Barlow *et al.*, 2011; Martin *et al.*, 2009) show that the night-time depth of the mixed layer (about 200–300 m) is significantly greater than the shallow nocturnal layer (of less than 100 m) in the surrounding rural areas (see Figures 1 and 2). As the new computations (detailed in the Appendix) show, the temperature profiles across urban areas vary in space and time even on flat terrain; they are even more complex where there are nearby hills (e.g. Brazel *et al.*, 2005), coasts and local hot-spots such as airports. Over the neighbourhood scale of 1–3 km the temperatures are raised or lowered by parks, rivers, buildings and so on (Bohnenstengel *et al.*, 2011). Because the surface temperature distribution also affects the wind profiles and turbulence (Owinoh *et al.*, 2005), the near-surface air temperature does not simply vary in proportion to surface heating and cooling. As numerical simulations over London confirm (Bohnenstengel *et al.*, 2011), this means that average urban temperatures are more effectively reduced by a distribution of smaller parks rather than a few large ones. An example of a large park (about 80 km<sup>2</sup>) to the south west of the centre of London and its lower surface temperature is shown in Figure 1.

Fluid dynamical studies of perturbed stratified flows with the Coriolis effects of the earth's rotation (Hunt *et al.*, 2004; Rotunno, 1983) show that the changes in the direction and



**Figure 1.** Different tonal shades show screen level temperature differences over London simulated at 23 h local time on 7 May 2008. Urban land-use fractions are depicted by black isolines



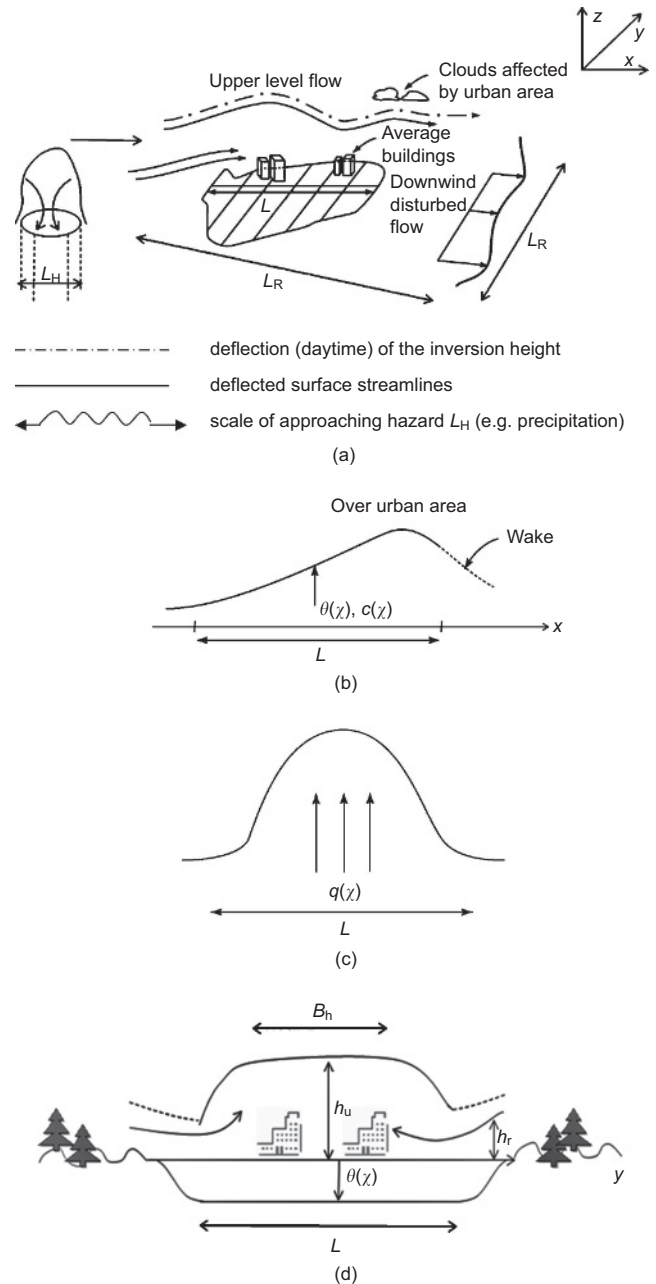


**Figure 2.** Boundary layer heights (different tonal shades) over London and 10 m wind vectors simulated at 23 h local time on 7 May 2008

speed of the airflow only become substantial when the length  $L$  exceeds the ‘Rossby radius’  $\langle L_R \rangle$ , which is about 30 km at night and up to 100 km by day. This is the distance over which the urban area affects the boundary layer flow upwind and around the urban area (Collier, 2007). When  $L$  is comparable to or exceeds  $L_R$ , the airflow and patterns of precipitation downwind of large cities (or other areas of surface roughness like islands) are perturbed for distances larger than  $L_R$  (Orr *et al.*, 2004). This distance  $L_R$  is also significant because it defines how the boundary depth  $h$  varies at night in the city centre, where there is a greater surface heat flux.

The scale  $L$  of ‘mega’ cities of the future will be comparable with or may even exceed  $L_R$ . The characteristic wind patterns will change. Typically in the day time with a steady wind blowing towards the city, zones of increased wind speed form around the periphery and extend far downwind. These are also associated with areas of marked surface convergence and divergence, so that the patterns of precipitation change. But at night, when the approach wind is weaker, thermally induced wind in large cities can be greater (e.g. Plate, 1982). The significant consequences for urban climate and environment of cities when they reach this megascale is just beginning to be studied, for example in an European Union (EU) Megapoli project (see <http://megapoli.dmi.dk/>).

Another long-term hazard in growing urbanised areas in dry regions of the world is a reduced water supply, either caused by reduced precipitation locally or regionally or by depletion of the water table owing to overpumping of ground water. Water conservation and water harvesting (a growing practice in cities and rural areas of India) will have to be supplemented by desalination for coastal cities or water must be transported



**Figure 3.** Some schematic features of the atmospheric environment of large cities. (a) Airflow patterns when the diameter  $L$  becomes comparable with  $L_R$  (the Rossby radius – deformation over which the flow is influenced upwind and in the wake downwind  $\sim 30$  km at night). (b) Typical asymmetrical variation of mean temperature  $\theta(x)$  of non-reactive pollutant  $c(x)$  when wind is from left to right (variation is quite different for reactive pollutants). (c) Typical vertical flux of mean temperature or pollutant. (d) Looking downwind (i.e. in  $x$  direction) showing how at night the wind passes over the urban area and the surface urban temperature  $\theta(x)$  is raised over the entire width  $L$ , but as the cool rural air moves inwards the width  $B_h$  is less than  $L$ . The boundary layer depth  $h_u$  is significantly greater than the rural boundary layer depth  $h_r$  (see Figures 1 and 2)

over long distances (Fenner, 2009). The hydraulic energy requirement (per year) for long-distance water transport into cities (which for example is already a substantial fraction of California's energy use (Andrew, 2009)) grows more rapidly than for any other use, that is as  $L^6$  (because it is proportional to  $Q^3$ , where  $Q$  is the volume flow which increases with the population, which, in turn, varies as  $L^2$ ). Figure 3 summarises features of the atmospheric environment of large cities schematically.

### 2.3 Effects of scale on the functioning of urban areas

It is equally important to consider how the increasing scale of cities affects the operational, social and economic capacities to deal with hazards. Some hazards, both climatic and environmental, as well as those caused by industrial accidents and by malefactors, tend to be localised over hazard length scales  $L_H$ , which generally do not depend on the overall size of the city  $L$ . But note that  $L_H$  increases with the magnitude of the hazard. In the largest cities  $L$  generally exceeds  $L_H$  for mild and frequent hazards, such as precipitation or local wind storms, which provides these communities with relatively greater resilience. But in other cases the hazards extend across the city (where  $L_H \geq L$ ), either over the short term such as with fluvial flooding, large earthquakes, heat waves or tropical cyclones, or over days and weeks such as with heat waves or very large fluvial flooding. Then large cities can be dangerous because damage can spread (like water-borne or air-borne debris), and because people cannot leave the endangered areas of the city within the short period  $T_H$  of a short-term hazard warning, and may not be able to leave the city even in the event of long-term warning. These considerations should influence strategic policies about increasing the size of such cities and practical policies about managing them, including evacuation plans and investments in security measures, such as secure refuges for people above the level of any likely floods, and secure against the danger of flying debris in high winds (Brewick *et al.*, 2009). Multidisciplinary modelling of these scenarios is an urgent research priority everywhere (Hunt, 2009a). The World Meteorological Organisation (WMO) has a special programme for a multi-hazards early warning system for Shanghai (Tang, 2009).

## 3. Policies and actions

Policies to deal with the impact of climate change and environmental hazards aim broadly to reduce their physical causes and to minimise their impact on society and the natural environment. The mitigation of GHG emissions associated with energy use in the largest urban areas could have a direct physical contribution to the global reduction efforts and should be economically feasible (Stern, 2007). Currently the trends in urban areas are upward (although not as much as the global average), which therefore threatens their own long-term sustainability and that of their surrounding region (Hunt,

2009a). But because of international trade, transportation and communications, these policies have international repercussions. For example, importing timber and reducing deforestation on mountain slopes has been an effective environmental and adaptation policy for reducing the danger of mud-slides associated with increased rainfall. But, as colleagues in Japan have pointed out, it sometimes impacts on the environment and causes mud-slide hazards in developing countries from where timber is exported. The UN earth summit declaration and biodiversity convention in Rio in 1992 provided the international framework for these policies and specifically committed governments to sustain the world's natural environment (UN, 1992). But some leading politicians and economists do not seem to understand this international commitment when they advocate climate change policies based only on minimising their 'opportunity' costs into the future (Hunt, 2008; Lawson, 2008).

Table 2 lists the main ways in which governments, communities and industry are working to implement the three main policy objectives of

- (a) mitigating GHG emissions
- (b) reducing the impacts of climatic and environmental hazards over the short and long term
- (c) promoting sustainability.

For these measures to be successful, they also need to be widely accepted by the society. The security and stability of the communities and organisations will be at risk during periods of significant change, such as when people have to move or change their housing, change jobs, change their crops (e.g. to reduce water use (Fenner, 2009)) or, in the extreme case, leave their region or country. Systems for appropriate warnings about short-term hazards are essential for community security and confidence about future plans (which can be personalised through modern communications and computer translation into all local languages – as is done in India) (Hunt, 2009a). For organisations and communities to make these difficult longer-term transitions, there has to be extensive consultation and information for all the people involved, including pilot projects and demonstrations of new developments (Jones, 2004).

Most of the action areas that are listed in the table can contribute effectively to the three goals, but this is more likely to be achieved if at each stage there is some coordination between different policies and projects. However, such policy integration also requires public consultation that relates specific actions (e.g. one traffic scheme or one wind farm at a time) to wider sustainability criteria – as is proposed by the UK government in its recent UK Planning Act (2008), which

establishes (quite controversially) an Infrastructure Planning Commission (see <http://infrastructure.independent.gov.uk/>)

Cities and urban communities recognise that their populations' energy requirements produce more than about 80% of the world's GHG emissions, and therefore they need to make substantial reductions in emissions. The compactness of cities and transport infrastructures facilitates the rapid introduction of non-carbon dioxide energy technologies for transport and buildings, which could reduce GHG emissions significantly – the Greater London authority target is 60% (from 1990 levels) by 2025. As some communities have shown, the urban target could be more ambitious than 60% (CCC, 2009; Jones, 2004). Mitigation measures now being introduced for urban areas include improved buildings to reduce their energy use (and/or regulating it, as in Japan where much less air conditioning is now mandatory – see [www.globeinternational.org](http://www.globeinternational.org)) by reducing both heat loss and heat gain (with new materials, use of ventilation design and plant coverings), solar renewable energy, use of waste heat from power stations, non-fossil energy for transportation (e.g. hydrogen, electric cars and bikes) and efficient and non-polluting public transport. Measures to minimise energy in urban areas also contribute to the adaptation objectives of reducing urban heat island temperatures, as indeed pedestrians can experience in cities when they compare the radiated heat coming from older and from the latest 'green' buildings (Davies *et al.*, 2008; Di Sabatino *et al.*, 2009). Where mitigation measures involve efficient and non-fossil local energy sources, they also contribute to energy security, especially where communities introduce separate local power networks (Jones, 2004). Transportation measures can reduce air pollution, which with current technology is especially high along roads in large cities, where poorer people often live, with the resulting higher incidence of bronchial diseases (Hunt, 2009a). As cleaner transportation technology is introduced over the next 10 years, such as electric cars, there should be a net reduction in local pollution as well as reduced GHG emissions.

The integration of sustainability policies requires detailed planning of how separate measures interact beneficially. For example, developments in land use, building and planning, such as expanding parks and green spaces and expanding tree planting (at lower latitudes especially), can also contribute to the three policy objectives (see Table 2) and reduce hazards of high temperatures, flooding and concentrations of gaseous and particular substances. In south India the greening of some suburban areas has enhanced rainfall and has provided new, more secure and more sustainable sources of biomass for bioreactors. If very large urban areas are to be developed, not only must they be safe (as discussed above), but they should have significant green spaces to meet the adaptation objective of minimising urban heat island temperatures (Head, 2008). In

China foliage from universal tree planting together with animal and human waste is used as the biomass for community bioreactors replacing urban tree burning. A remarkably effective integration of measures in the Netherlands involves placing wind turbines on dykes along the coasts, so that the costs of adaptation to greater dangers of flooding are contributing to the costs of the foundations of the turbines. The UK government proposes electricity market reform to support and develop renewables and expand use of electric vehicles, which can help to expand the storage capacity for electricity to mitigate peak-time demand, and to reduce carbon dioxide emissions and air pollution (DECC, 2010). These and other integrated solutions require long-term planning and long-term commitment, which may not be consistent with deregulated markets for energy and real estate development and require government intervention.

#### 4. Conclusions

This paper shows that as urban areas grow to an unprecedented scale in many parts of the world there are significant risks for their populations, which differ considerably depending on geographical and climatic factors. In general these can be greatly reduced through short- and long-term measures specific to these areas, both for protecting people and infrastructure. Developments in science, technology and institutional organisations are transforming warning and disaster response systems, through better understanding of the linkage between geophysical processes and detection technology and improving the education of communities that are particularly vulnerable to risk (Hunt and Kopec, 2011). The long-term threats to supplies of energy, food and water are growing as well, especially in developing countries, resulting from the effects of climate change, the depletion of local and regional resources (in particular water) and the deterioration of the local environment. Policies for urban areas will have to be reconsidered when these areas become so large that they adversely affect the local climate and environment, and add to natural risks, such as heat waves, flooding, extreme wind damage, air pollution events and so on, as explained in this paper. Their size will mean that people cannot escape in the event of extreme hazards, as recent hurricanes and tsunamis in the USA and Indonesia have shown. Indeed where attempts have been made to evacuate multimillion-sized populations, lives have actually been lost in the transport systems as they seized up. Communities have to understand and be prepared for risks of hazards and need to be involved in addressing them, in partnership with local and national government. Structural engineers, planners and social scientists need to consider more urgently the design of appropriate shelters in urban and also in rural areas. These need to be technically effective, but also socially acceptable to the communities – which has not always been the case in certain developing countries, for example where preservation of livestock has been

neglected in emergency measures. Estimates of the likelihood and impacts of extreme events in growing conurbations are needed to plan and justify the investment needed for these precautionary measures.

Urban communities are only likely to support policies leading to reduced GHG emissions and lowering risks associated with environmental and climatic change if they are fully informed and consulted about the most appropriate measures in the local context, such as the dependence on natural conditions, the planning of the city and the use of energy. For example in Texas urban dwellers fearful of tornadoes, cyclones or severe flooding are considering different infrastructure and planning policies for mitigation and adaptation, such as renewable energy, more efficient buildings, and hard and soft defences (e.g. shelters or landscaping). Citizens in high-density cities subject to floods, cyclones and earthquakes like Tokyo and Hong Kong, on the other hand, are focusing on improving the resilience of their systems for low-carbon dioxide energy and public transport and housing. The 20 largest cities in the world are sharing knowledge about their mitigation and adaptation policies (see [www.c40cities.org](http://www.c40cities.org)). At the same time all urban areas need improved warning systems for weather and climate impacts both for short-term periods and, as science advances progressively, over longer periods. As communities are informed better about and also observe for themselves how their local climates and environment are changing, they will be more able to act on their own and to support local and national policies. The evidence from medium-sized communities in Europe (for example Woking, UK (Jones, 2004)) is that this is quite feasible. The question is whether in growing conurbations, there can be the same level of community and political involvement to meet the ever greater challenges of these regions.

This paper has shown some aspects of the complexity of establishing optimum policies for dealing with climate change in expanding urban areas. Since this and other research is indicating how the likely degree of future climate change impacts will require qualitative changes in the planning and operation of cities, depending on the area of the world concerned, environmental, engineering and societal research needs to address these problems more fully than is being done at present.

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## Appendix

### Explanations to Figures 1 and 2: numerical simulation of the nocturnal urban heat island over London

The local, or 'meso', scale version of the 'unified' numerical weather prediction model of the UK Met Office has been specially modified for detailed study of urban areas. This is the new urban scheme 'Moruses' and the Met Office urban surface exchange scheme at the University of Reading (Harman and Belcher, 2006; Porson *et al.*, 2009). For the simulation presented here it was run within for a 3-day simulation over London starting on 6 May 2008 at 18:00 h local time. This study is part of the 'Lucid' collaborative project (Mavrogianni *et al.*, 2011).

The meteorological situation during these 3 days in May 2008 was dominated by a high-pressure system over Europe with low synoptic winds so that local temperature gradient, for example land-sea-urban, could determine the local meteorology situation over the UK. The simulation was run with a horizontal resolution of 1 km  $\times$  1 km using nine 'types of surface' to model different types of the sub-grid scale land-use. Two of these tiles simulate the surface energy balance for urban street canyons separately from those for energy for urban roof level. The urban modelling was nested downwards within larger-scale simulations on a 12 km to a 4 km scale, which in turn was nested in a global simulation on a scale of 100 km. The initial and boundary conditions were determined by the global simulation.

Figure 1 shows how the modelled changes in the urban-rural land surface affect the screen level temperatures (i.e. at 2 m) over London at night time. The contours show the difference in the screen level temperatures between the urban areas (depicted by contour lines) and the rural areas surrounding London, where the surface was approximated modelled as grassland. A strong urban heat island develops with average urban-rural temperature difference of about 3 K and the peak value exceeding 5 K near the city centre of London. Note the sharp boundary between the rural and urban surface temperatures. The screen level temperatures respond locally to the fraction of the area that has an urban land surface, so that cooler temperatures are

simulated over and down-wind of green areas, such as Richmond Park. This, as mentioned in Section 2, affects the local urban heat island temperature. It is clear that the centre of the urban heat island over London is slightly advected to the west, or the leeward side of the centre of London. Downwind of London there is a well-defined thermal 'plume', but it shrinks in width as it mixes with the rural boundary layer (such as in Figure 3(d)).

Figure 2 shows the spatial pattern of the boundary layer depth over the London area. Over the central, most densely built-up areas the boundary layer reaches its maximum depth ( $h$ ) of 350–450 m. This persists late into the night, while the rural surrounding areas show a thinner boundary layer depth of about 100–200 m. The buoyancy forces associated with the urban–rural temperature difference induce an airflow inwards, which is why the urban boundary layer depth  $h_u$  progressively reduces in the suburbs and also downwind of the urban area. Recent night-time measurements in London showed  $h \approx 200$  m (Barlow *et al.*, 2011). The boundary layer is also affected by local changes in the surface land-use leading to lower boundary layer depths over park areas like Richmond Park. The simulations showed clearly that there is a nocturnal temperature increase near the ground over most of the urban area. But the airflow and its effects on the patterns of the transport of pollutants above the surface vary markedly over the urban area, which is important for operational models for urban weather forecasting (Clark *et al.*, 2009) and for air pollution modelling (e.g. urban ADMS model – [www.cerc.co.uk](http://www.cerc.co.uk)).

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